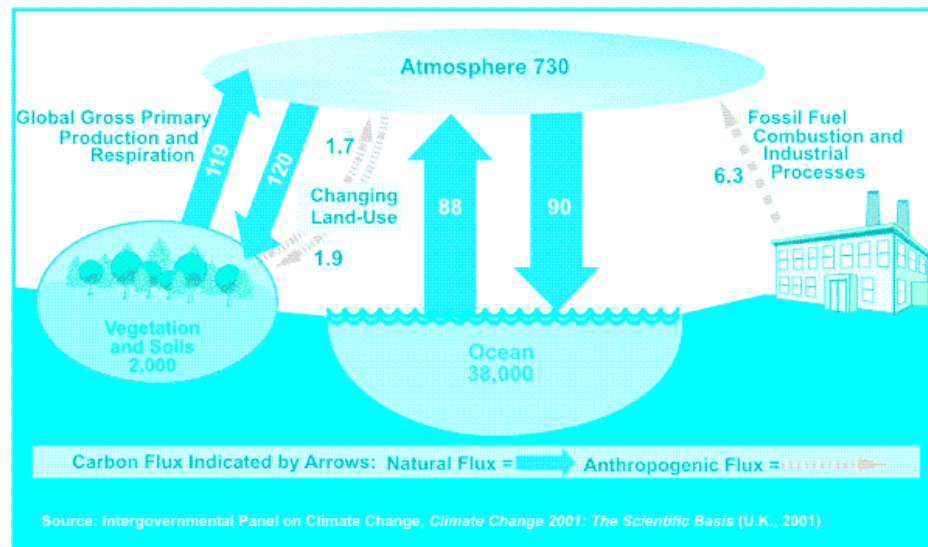


# AIRS CO<sub>2</sub> data assimilation with Ensemble Kalman filter: preliminary results



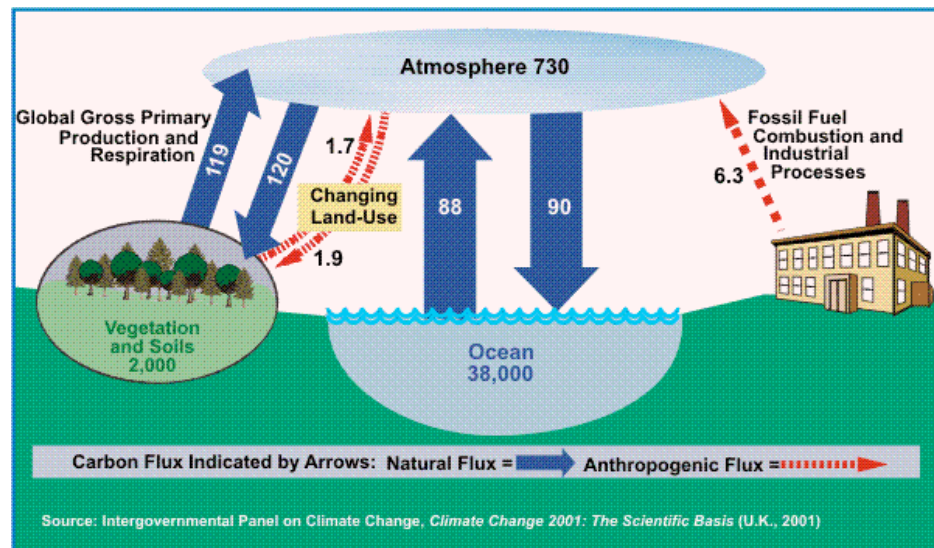
Junjie Liu<sup>1</sup>

Eugenia Kalnay<sup>2</sup> and Inez Fung<sup>1</sup>

<sup>1</sup>UC Berkeley; <sup>2</sup>University of Maryland

Many thanks to **Edward Olsen** and **Moustafa Chahine** for kindly providing us their AIRS L2 CO<sub>2</sub> retrievals and guidance! Other collaborators include **Yu-Heng Tseng**, **Michael Wehner** and **Masao Kanamitsu**.

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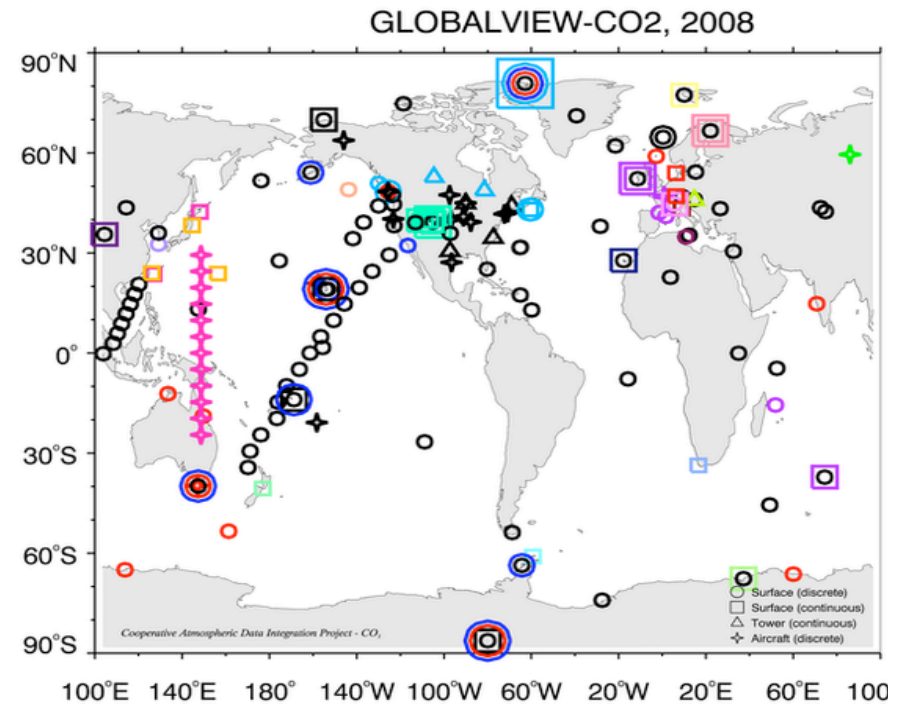
# Motivation & Goals

## Motivation:

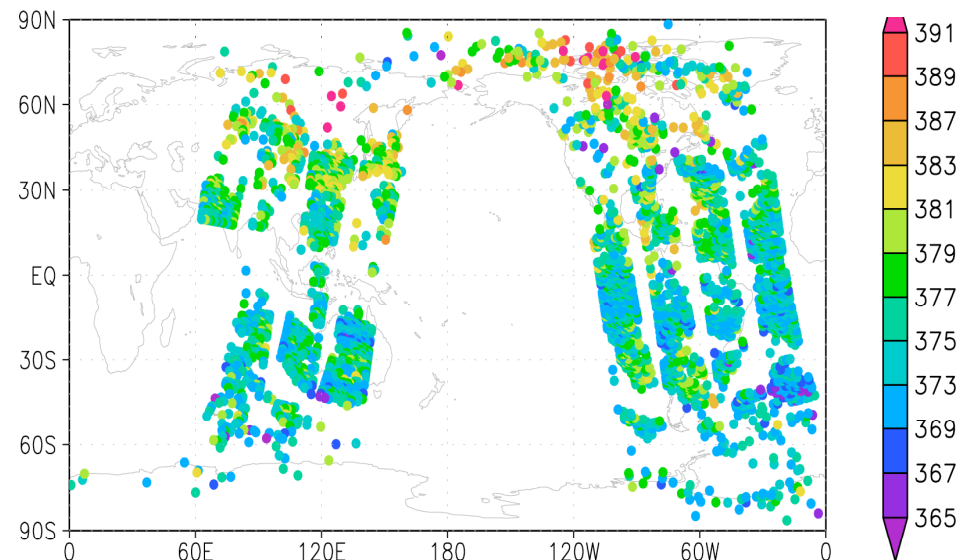
Accurate carbon flux estimation from inversion needs far more CO<sub>2</sub> observations than current surface obs can provide.

## Goals:

1. Generate global CO<sub>2</sub> map every 6-hour; start with AIRS, then GoSat
2. Propagate AIRS CO<sub>2</sub> in both horizontal and vertical direction through data assimilation and transport model



## AIRS CO<sub>2</sub> at 18Z01May2003 (+/-3hour)



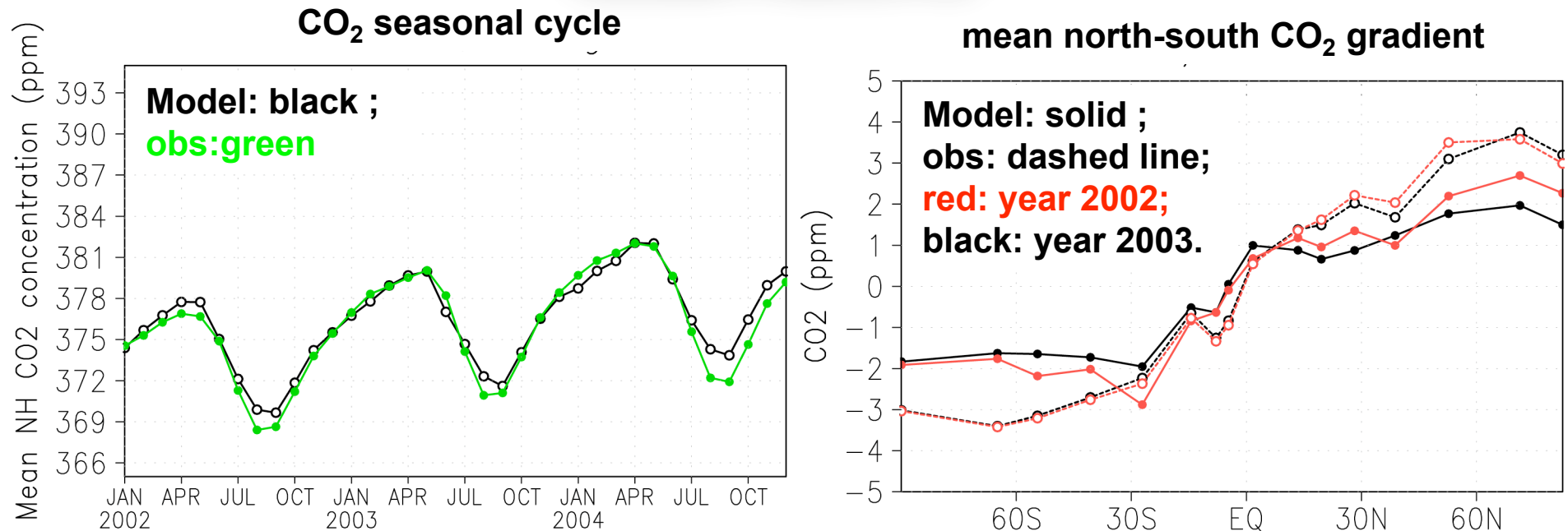
# Outline

- CO<sub>2</sub> simulation in Community Atmospheric Model 3.5 (CAM 3.5)
- Methods to assimilate AIRS CO<sub>2</sub> with Ensemble Kalman Filter (EnKF)
- Preliminary results
- Summary and future plans

# CO<sub>2</sub> simulation in CAM3.5

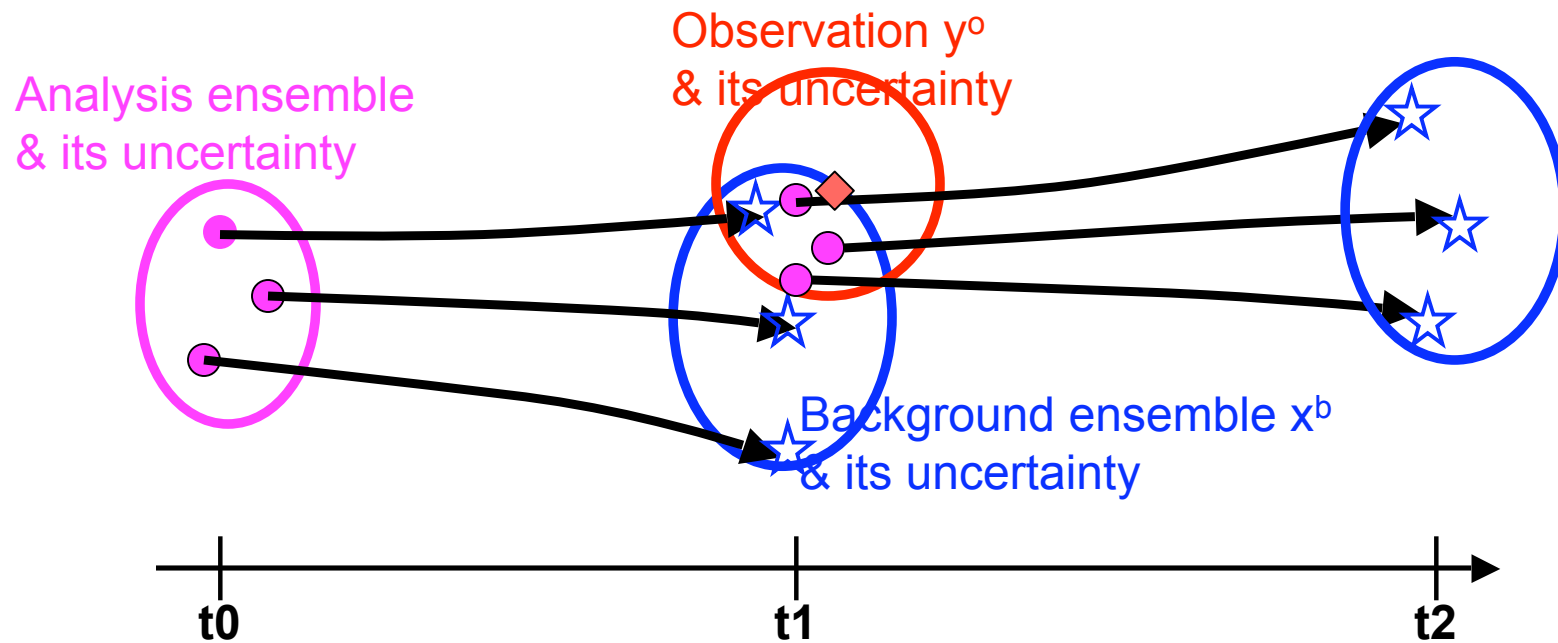
- Community Atmospheric Model 3.5 (CAM 3.5) coupled with Community Land Model 3.5 (CLM 3.5)
  - Finite Volume dynamical core
  - 2.5°x1.9° horizontal resolution, with 26 vertical levels up to 3.5hPa.
- CO<sub>2</sub> is transported as a tracer in CAM 3.5
- Carbon flux forcing
  - Fossil fuel emission (yearly average value in 2003)
  - Ocean C flux (changes with month; Takahashi et al., 2002)
  - Land C flux (changes with month; CASA annually balanced flux from Transcom 3)
- Four-year model integration started from 01Jan 2000

# Comparison between model simulation and observations



- Seasonal cycle simulation is pretty good even though the flux is not perfect.
- N-S model gradient is smaller than observations, similar to Engelen et al. 2008.

# Ensemble Kalman Filter



✓ **Analysis mean**  $\bar{\mathbf{x}}^a = \bar{\mathbf{x}}^b + \mathbf{K}(\mathbf{y}^o - h(\bar{\mathbf{x}}^b))$ ,  $\mathbf{K}$  is function of background error and observation error.

$h(\cdot)$  is the observation operator, which interpolates model forecast to observation space (more details later);

# CO<sub>2</sub> observation operator

- Model forecast  $\mathbf{x}^b$  is CO<sub>2</sub> vertical profile;
  - AIRS CO<sub>2</sub> is weighted column Volume Mixing Ratio (vmr);
- => **observation operator**: interpolate  $\mathbf{x}^b$  to obs location & calculate model forecast weighted column CO<sub>2</sub> vmr based on CO<sub>2</sub> profile.

$$\mathbf{y}^b = h(\mathbf{x}^b) = \mathbf{A}^T (\mathbf{H}\mathbf{x}^b) = \sum_{i=1}^k a_i (Hx_i^b)$$

$\mathbf{x}^b$ : model forecast CO<sub>2</sub> vertical profile;

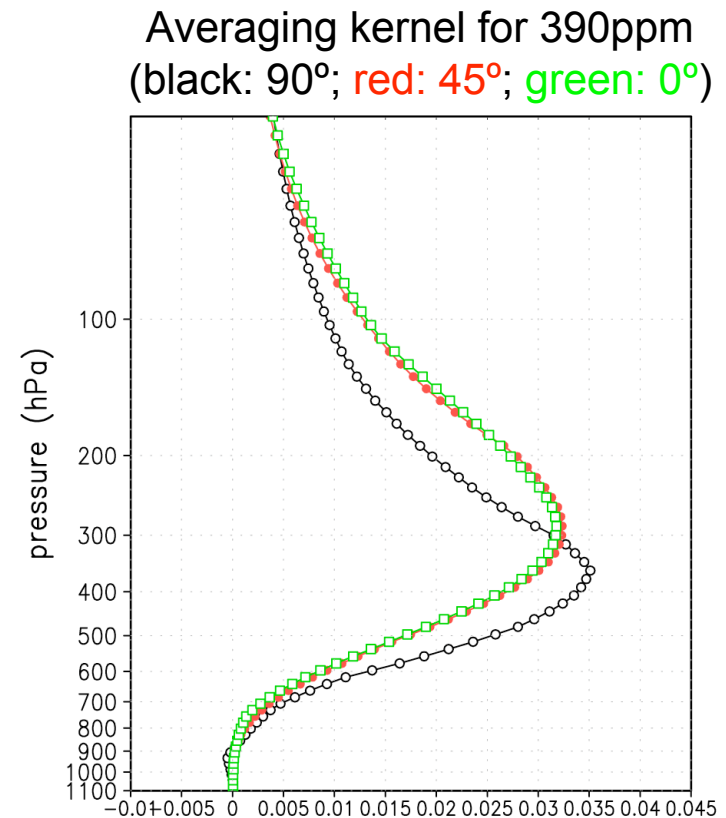
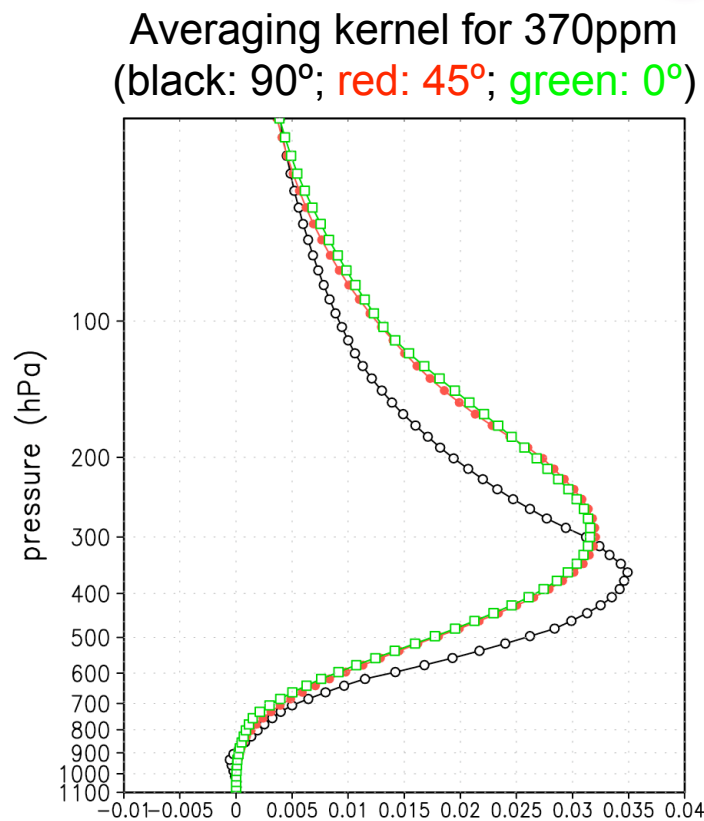
$k$ : the total vertical levels;  $\mathbf{H}$ : spatial interpolation operator;

$\mathbf{y}^b$ : model predicted CO<sub>2</sub> column mixing ratio.

$\mathbf{A}$ : averaging kernel;  $a_i$  is the element at  $i$ th vertical level;



# Averaging Kernel



1. Interpolate averaging kernels based on  $\text{CO}_{2(\text{base})}$   
 $\text{CO}_{2(\text{base})}(\text{time}=t) = 371.92429 + 1.840618 * (t - t_0)$ , where  $t_0 = 00\text{ZJan1, 2002}$ ;
2. Linearly Interpolate among latitudes ;
3. Normalize the interpolated averaging kernels, i.e.,  $\text{sum}(A) = 1.0$

# CO<sub>2</sub> assimilation method

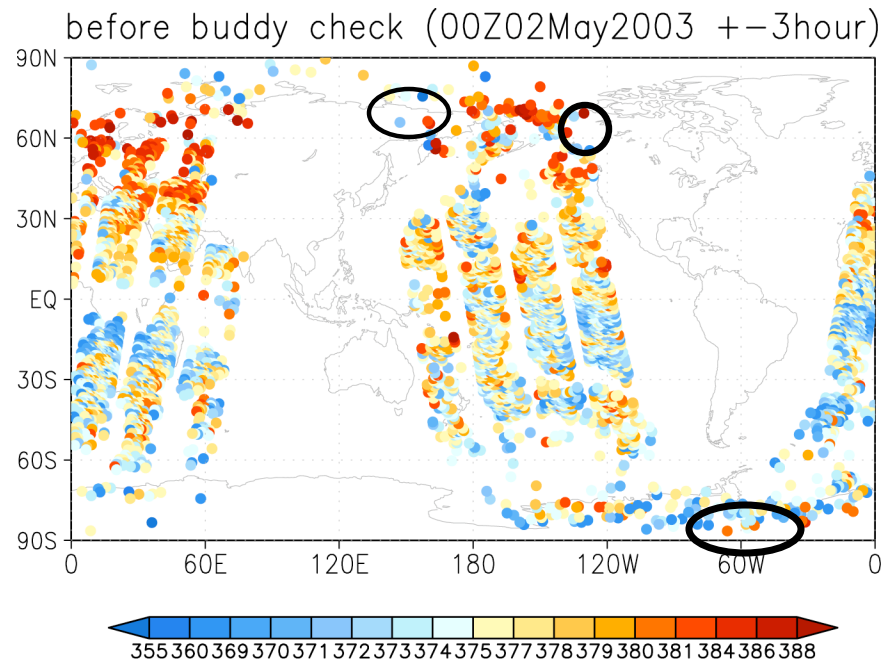
- AIRS CO<sub>2</sub> observation is a column weighted value;
  - Model forecast CO<sub>2</sub> state  $\mathbf{x}^b$  and analysis state  $\mathbf{x}^a$  are vertical profiles;
- => How to localize CO<sub>2</sub> column observation to obtain CO<sub>2</sub> vertical profile?

$\Delta y_i^{o'} = a_i \times (\mathbf{y}^o - h(\bar{\mathbf{x}}^b))$ ; localize the column observation increment to  $i^{th}$  vertical level by the  $i^{th}$  averaging kernel element  $a_i$

$\Delta y_{j,i}^{b'} = a_i \times h(\mathbf{x}_j^b)$ ; localize the  $j^{th}$  ensemble forecast column CO<sub>2</sub> to the  $i^{th}$  vertical level by the  $i^{th}$  averaging kernel element  $a_i$

# AIRS CO<sub>2</sub> observations

**00Z02May, 2003 +/-3hour**

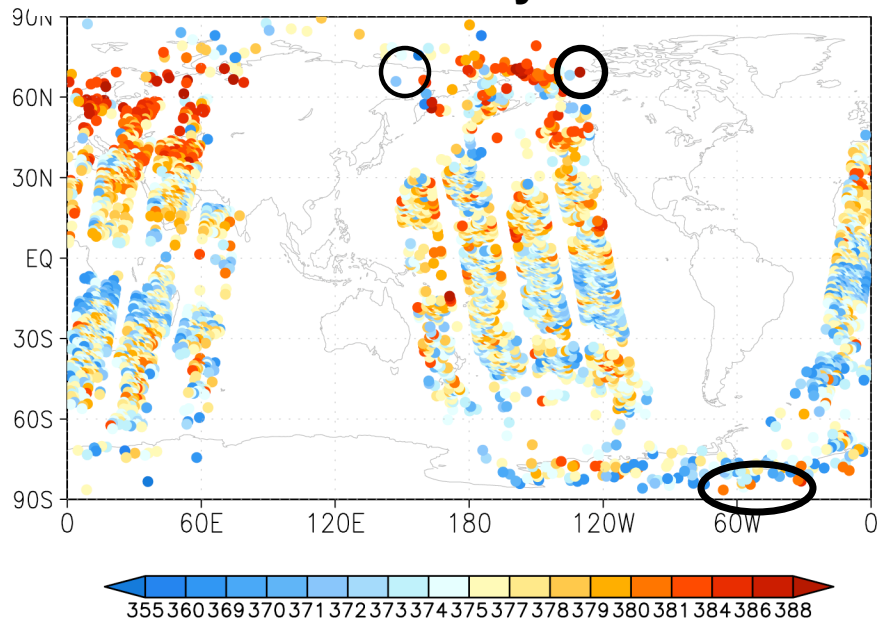


- Some outliers in the AIRS CO<sub>2</sub> observations (may not mean bad quality).
- Need some quality control before assimilating these obs.

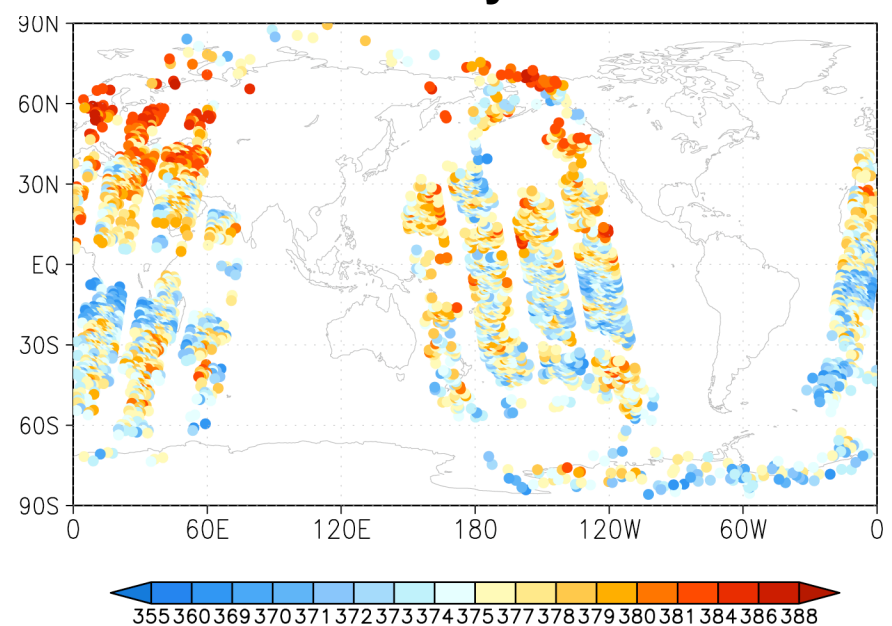
# Quality control of AIRS CO<sub>2</sub> observations

00Z02May, 2003 +/-3hour

Before buddy check



After buddy check

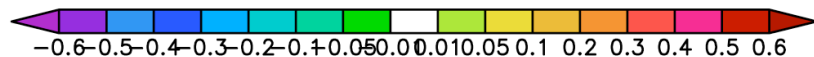
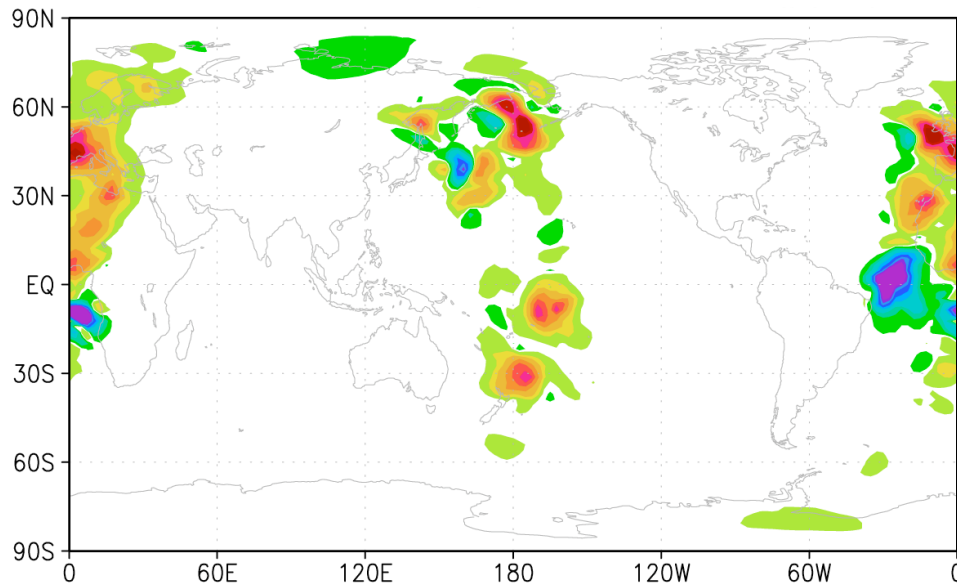


**Buddy check:** compare each observation to the mean of the observations within 400km.

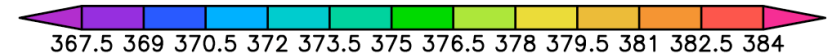
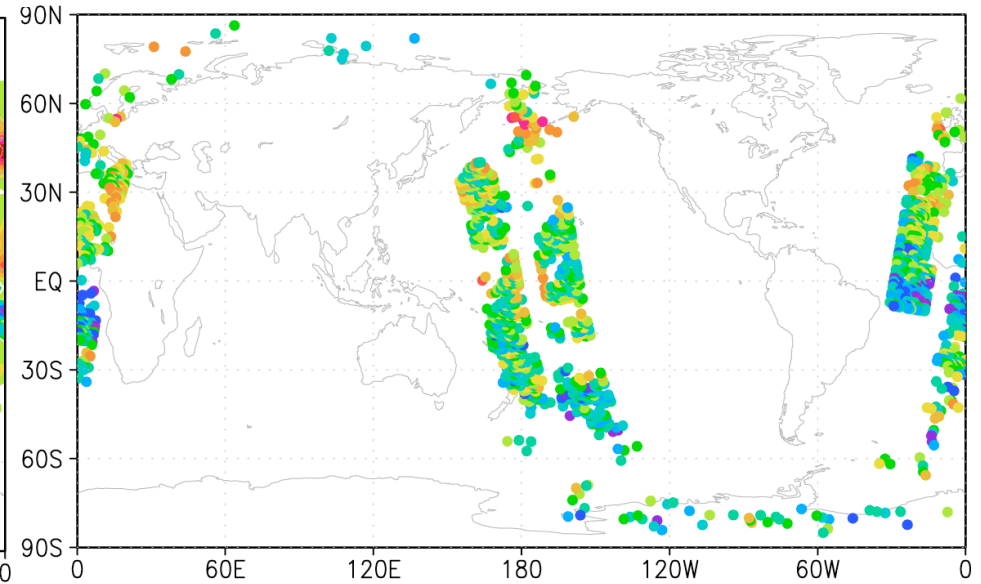
**Bad observations:** absolute difference larger than 5ppm; filter out about 8%.

# Single CO<sub>2</sub> analysis step

350 hPa CO<sub>2</sub> analysis increment (ppm)

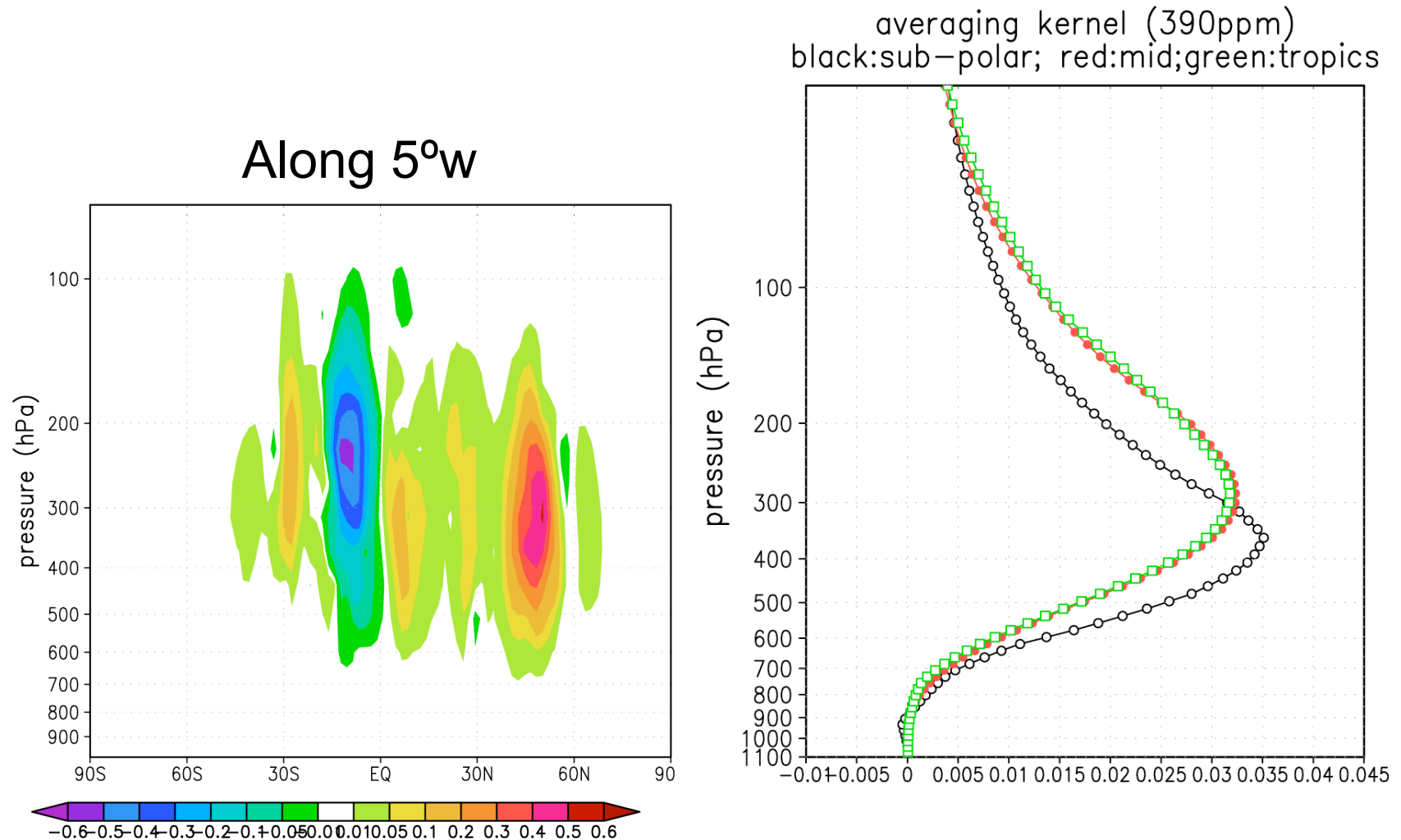


CO<sub>2</sub> at 00Z 01 May 2003 (+3h) after QC



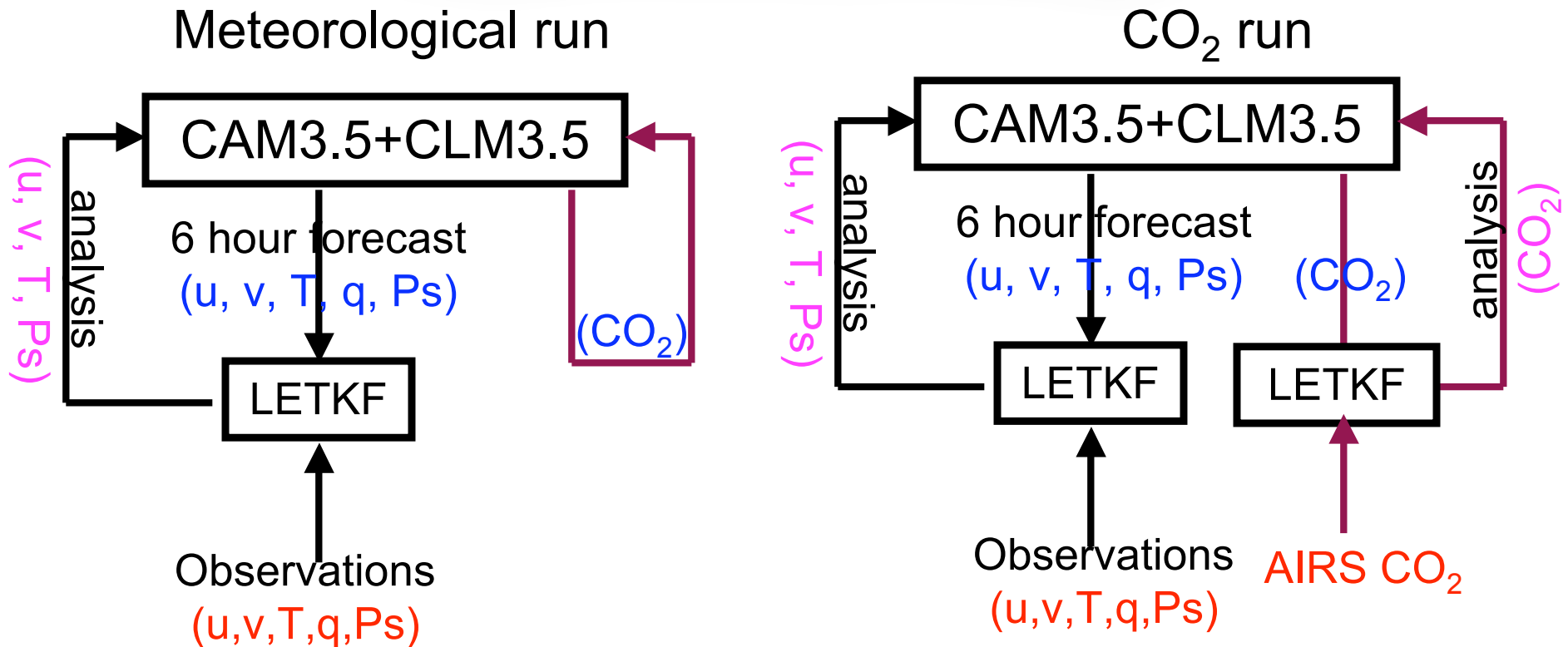
- Analysis increment= analysis-background forecast
- Spatial pattern of analysis increment follows the observation coverage.
- Propagate observation information horizontally.

# CO<sub>2</sub> analysis increment vertical profile



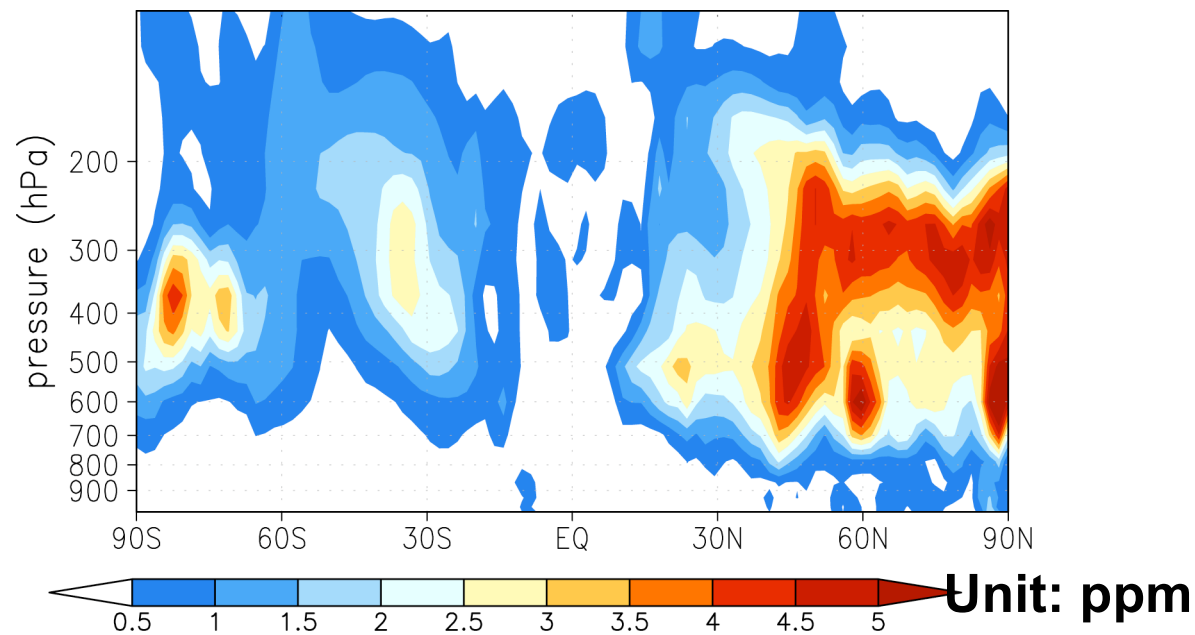
- The magnitude of analysis increments in vertical direction follows the shape of averaging kernel.

# Experimental design



- No constraints on CO<sub>2</sub> in meteorological run;
- AIRS CO<sub>2</sub> constrains CO<sub>2</sub> vertical profile in CO<sub>2</sub> run.

# CO<sub>2</sub> difference between CO<sub>2</sub> run and meteorological run

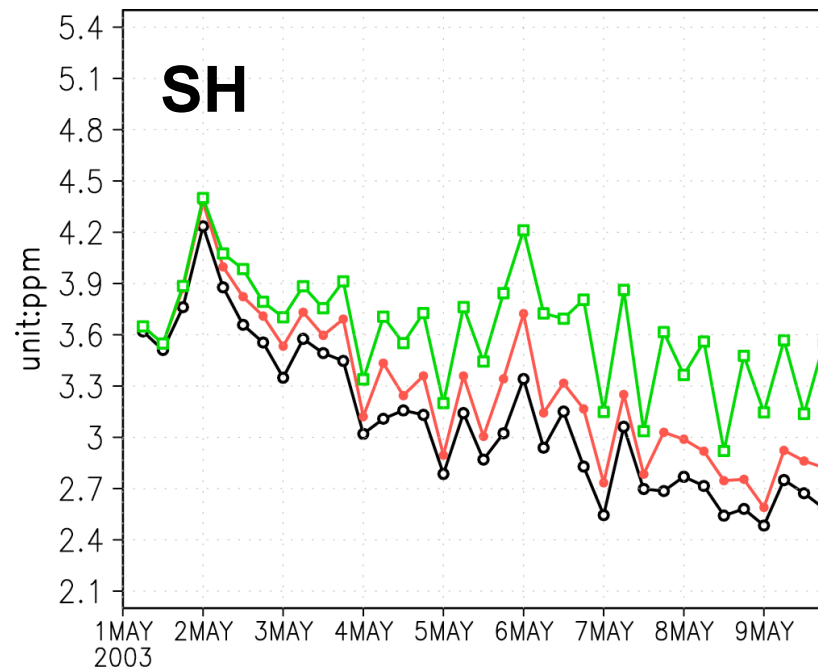
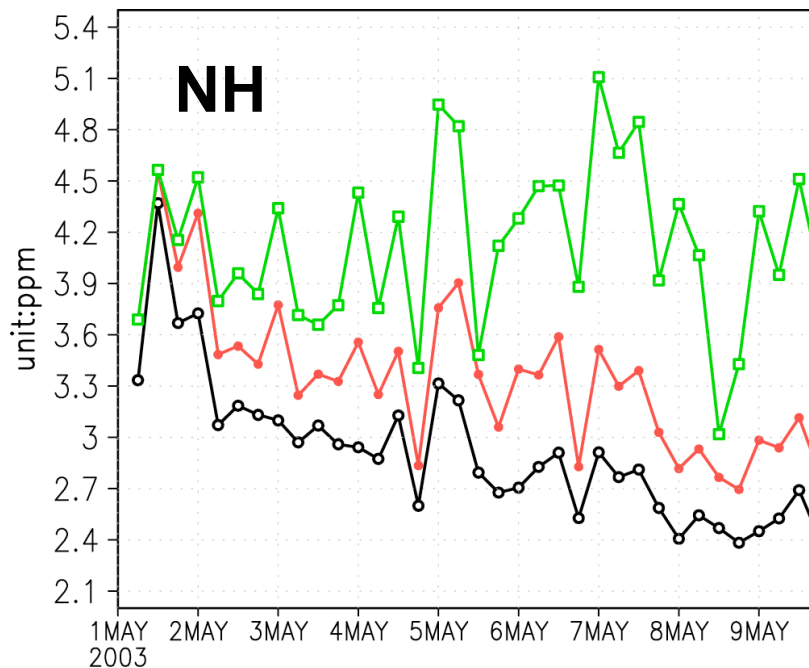


1. Adjustment by AIRS CO<sub>2</sub> spans from 800hPa to 100hPa
2. The adjustment is larger in the NH



# Fitting to AIRS CO<sub>2</sub> obs

Green: meteorological run;  
Red: 6-hour forecast from CO<sub>2</sub> run; black: analysis from CO<sub>2</sub> run



Fitting to the AIRS CO<sub>2</sub> observations has been much improved in CO<sub>2</sub> run.

# Summary

- CO<sub>2</sub> seasonal cycle is well simulated by CAM3.5, but N-S gradient is weaker;
- Proposed a procedure to assimilate AIRS CO<sub>2</sub> retrievals with ensemble Kalman filter;
- Assimilation and transport model propagate the AIRS CO<sub>2</sub> observation in both horizontal and vertical directions.
- As expected, CO<sub>2</sub> column mixing ratio from CO<sub>2</sub> run is closer to AIRS CO<sub>2</sub> retrievals than that from meteorological run.

# Future plans

- Extend the length of assimilation and use more accurate averaging kernel.
- Compare the results to in-situ CO<sub>2</sub> observations, e.g., aircraft data.
- Develop more sophisticated QC.
- Explore multivariate CO<sub>2</sub> data assimilation.
- Use carbon flux predicted by the online CASA model.
- Based on the simulated experiments of Ji-Sun Kang (UMD), ultimately, estimate carbon flux based on AIRS CO<sub>2</sub> data and GOSAT CO<sub>2</sub> data